**Clinical Pharmacogenetics Implementation Consortium (CPIC) Guidelines for Dihydropyrimidine Dehydrogenase Genotype and Fluoropyrimidine Dosing: 2017 update**

**Ursula Amstutz1, Linda M. Henricks2, Steven M. Offer3, Julia Barbarino4, Jan H.M. Schellens2,5, Jesse J. Swen6, Teri E. Klein4, Howard L. McLeod7, Kelly E. Caudle8, Robert B. Diasio3,9, Matthias Schwab10,11,12**

1University Institute of Clinical Chemistry, Inselspital Bern University Hospital, University of Bern, Bern, Switzerland

2Department of Clinical Pharmacology, Division of Medical Oncology and Division of Pharmacology, the Netherlands Cancer Institute, Amsterdam, the Netherlands

3Department of Molecular Pharmacology and Experimental Therapeutics, Mayo Clinic, Rochester, Minnesota, USA

4Department of Biomedical Data Science, Stanford University, Stanford, California, USA

5Utrecht Institute for Pharmaceutical Sciences, Utrecht University, Utrecht, the Netherlands

6Department of Clinical Pharmacy & Toxicology, Leiden University Medical Center, Leiden, the Netherlands

7DeBartolo Family Personalized Medicine Institute and the Department of Population Sciences, H. Lee Moffitt Cancer Center & Research Institute, Tampa, Florida, USA

8Department of Pharmaceutical Sciences, St. Jude Children’s Research Hospital, Memphis, Tennessee, USA

9Mayo Clinic Cancer Center, Mayo Clinic, Rochester, Minnesota, USA

10Dr Margarete Fischer-Bosch-Institute of Clinical Pharmacology, Stuttgart, Germany

11Department of Clinical Pharmacology, University Hospital, Tuebingen, Germany

12Department of Pharmacy and Biochemistry, University of Tuebingen, Tuebingen, Germany

Corresponding Author: Kelly Caudle, Pharm.D., Ph.D.

262 Danny Thomas Place MS: 313

Memphis, TN 38105

Office: 901-595-3994

Cell: 901-289-7392

Fax: 901-595-3125

cpic@pharmgkb.org

Word count:

Abstract: 75 words

Main text:

References:

Keywords: DPYD, DPD, Dihydropyrimidine Dehydrogenase, pharmacogenetics, fluoropyrimidines, 5-fluorouracil, CPIC

# Abstract

Fluoropyrimidines (5-fluorouracil, capecitabine) are the mainstay chemotherapeutic agents for the treatment of many types of cancer. Metabolism of fluoropyrimidines requires dihydropyrimidine dehydrogenase (DPDencoded by the *DPYD* gene) and reduced or absent activity of this enzyme can result in severe and sometimes fatal toxicity. Evidence and therapeutic recommendations are presented for *DPYD* genotype-directed dosing of fluoropyrimidines. This document is an update to the 2013 Clinical Pharmacogenetics Implementation Consortium (CPIC) guideline for *DPYD* Genotypes and fluoropyrimidine dosing (updates available at https://cpicpgx.org/guidelines/guideline-for-fluoropyrimidines-and-dpyd/).

# Introduction

The purpose of this guideline is to provide information for the interpretation of clinical dihydropyrimidine dehydrogenase (*DPYD*) genotype tests so that the results can be used to guide dosing of fluoropyrimidines (5-fluorouracil, capecitabine, and tegafur). Detailed guidelines for the use of fluoropyrimidines, their clinical pharmacology ([1](#_ENREF_1)) as well as analyses of the cost-effectiveness are beyond the scope of this document. The Clinical Pharmacogenetics Implementation Consortium (CPIC) guidelines consider the situation of patients for which genotype data are already available ([2](#_ENREF_2)) (updates available at https://cpicpgx.org/guidelines/guideline-for-fluoropyrimidines-and-dpyd/).

# Focused Literature Review

A systematic literature review focused on *DPYD* genotype and 5-fluorouracil, capecitabine and tegafur was conducted (see **Supplement**), with reviews used as summaries of earlier literature.

# Gene: *DPYD*

## **Background**

*DPYD,* the gene encoding dihydropyrimidine dehydrogenase (DPD), the rate-limiting enzyme for fluoropyrimidine catabolism, spans 950kb on chromosome 1p22 with 4399 nucleotides in 23 coding exons ([3](#_ENREF_3)). Numerous genetic variants in *DPYD* are known that alter the protein sequence or mRNA splicing (see ***DPYD* Allele Frequency Table**). Some of these variants, based on current knowledge, do not affect DPD activity in a clinically relevant manner (e.g. c.85T>C, \*9A, rs1801265, p.C29R; c.1627A>G, \*5, rs1801159, p.I543V; c.2194G>A, \*6, rs1801160, p.V732I), whereas others result in reduced enzyme function. In the context of 5-fluorouracil, four decreased function *DPYD* variants are of primary relevance due to their population frequency and established impact on enzyme function and toxicity risk: c.1905+1G>A (rs3918290, also known as *DPYD*\*2A, *DPYD*:IVS14 + 1G>A), c.1679T>G (rs55886062, *DPYD* \*13, p.I560S), c.2846A>T (rs67376798, p.D949V), and c.1129–5923C>G (rs75017182, HapB3). Of these variants, c.1905+1G>A and c.1679T>G have the most deleterious impact on DPD activity, whereas c.2846A>T and c.1129–5923C>G result in moderately reduced DPD activity (see further details below in “Linking genetic variability to variability in drug-related phenotypes”).

The most well-studied *DPYD* variant, c.1905+1G>A (\*2A), is located at the intron boundary of exon 14 and results in skipping of the entire exon and a non-functional protein ([4](#_ENREF_4)). The variant c.1129–5923C>G, located deep in intron 10, introduces a cryptic splice site and the partial production of a non-functional transcript ([5](#_ENREF_5)). This SNP is the likely underlying causal variant of a *DPYD* haplotype (HapB3) spanning intron 5 to exon 11 ([6](#_ENREF_6)). The synonymous variant c.1236G>A (rs56038477) is in perfect linkage disequilibrium with c.1129–5923C>G (r2 = 1.0, D’=1.0 in 1000 Genomes Project) and thus a proxy for this variant in Europeans. The variants c.1679T>G and c.2846A>T are missense mutations that affect protein function ([7](#_ENREF_7)).

In Europeans, HapB3 with c.1129–5923C>G is the most common decreased function *DPYD* variant (see ***DPYD* Allele Frequency Table**) with carrier frequencies of 4.1-4.8%, followed by c.1905+1G>A (carrier frequency: 1-1.2%) and c.2846A>T (carrier frequency: 0.8-1.4%). Considering all four variants combined, ~7% of Europeans carry at least one decreased function *DPYD* variant. In individuals with African ancestry, the decreased function variant c.557A>G (rs115232898, p.Y186C) is relatively common (~5% carrier frequency). Most other *DPYD* variants of phenotypic consequence are very rare (summarized in the ***DPYD* Allele Frequency Table)** and were not observed even in large cohort studies ([8-10](#_ENREF_8)).

Nomenclature. While some *DPYD* variants have been assigned a (\*) allele, only a minority of known variants has such a designation. Furthermore, the (\*) allele nomenclature is used for other drug metabolizing enzymes to designate haplotypes consisting of more than one variant. Due to the size of *DPYD* and the low frequency of most variant, reliable haplotype inference across the entire gene is not possible. Therefore, the preferred nomenclature for *DPYD* variants is the use of HGVS nomenclature or rs# (see Supplement for further details).

Genetic Test Interpretation

Evidence supporting DPD function associated with known *DPYD* variants is summarized in the ***DPYD* allele functionality table**. The relationship between *DPYD* genotype and phenotype has only been clearly established for a few variants, whereas the functional impact of many rare variants has been only assessed *in vitro*. Thus, the ***DPYD* Allele Functionality Table** was divided into sections according to the strength of evidence supporting the assigned allele function: Strong evidence supporting function (from both *in vitro* and clinical studies); Moderate evidence supporting function (from *in vitro* and clinical/*ex vivo* studies); *In vitro* data only and/or limited clinical/*ex vivo* data supporting function; Unclear function (conflicting or insufficient evidence supporting function, currently not considered actionable). For each variant, an activity score similar to that described in ([11](#_ENREF_11)) was applied: 1 for normal function, 0.5 for decreased function, and 0 for no function variants (including variants with minimal DPD activity).

**Table 1** summarizes the likely DPD phenotype based on genotype. The DPD phenotype is assigned a gene activity score (*DPYD*-AS), calculated as the sum of the two *DPYD* variants with the lowest variant activity score (based on the ***DPYD* Allele Functionality Table)**. Briefly, carriers of two no function variants are classified as DPYD poor metabolizers (DPYD-AS: 0); carriers of one decreased or no function variant are considered *DPYD* intermediate metabolizers (*DPYD*-AS: 1 or 1.5), and those with only normal function variants are classified as *DPYD* normal metabolizers (*DPYD*-AS: 2). If two different decreased/no function variants are present, they are presumed to be on different gene copies. Irrespective of the presence of decreased/no function variants, patients may carry multiple normal function variants. Common normal function variants may be located on the same gene copy as other normal function variants or decreased/no function variants (see Supplement for further details).

To ensure correct test interpretation for the transversion variants c.1129–5923C>G and c.2846A>T, the strand to which alleles are assigned needs to be considered. In this guideline, allele designations are relative to the coding DNA reference sequence (NM\_000110.3) and thus the decreased function (i.e. minor) alleles are c.1129–5923G and c.2846T, respectively

Available Genetic Test Options

Testing options for *DPYD* genotype range from targeted analysis of selected variants to resequencing of the complete coding regions. In the context of 5-fluorouracil toxicity, at present, most tests focus on the four most common and well-established risk variants (c.1905+1G>A, c.1679T>G, c.2846A>T, c.1129–5923C>G) or a subset thereof. Additional information about commercially available genetic testing options can be found at the Genetic Testing Registry website (<http://www.ncbi.nlm.nih.gov/gtr/>).

Incidental findings

Individuals who harbor one copy of a no function *DPYD* variant can be considered to have carrier status for an inborn error of metabolism and consideration should be given to its potential effects on offspring. Patients homozygous for inactivating variants of *DPYD* have complete dihydropyrimidine dehydrogenase deficiency, a clinically heterogeneous autosomal recessive disorder of pyrimidine metabolism that shows wide variability of clinical presentations, ranging from no symptoms to severe convulsive disorders with motor and mental retardation ([12](#_ENREF_12), [13](#_ENREF_13)).

Other considerations

Some of the testing options for 5-fluorouracil toxicity also include testing for other gene variants in *TYMS* and *MTHFR*. To date, however, the clinical utility of these genotypes is unclear (see further details in **Supplement**), and predictive dosing strategies have yet to be successfully applied. For a summary of pharmacogenomic studies of 5-fluorouracil see the PGx Research tab at http://www.pharmgkb.org/drug/PA128406956.

There are alternative or complementary tests to *DPYD* genotyping that assess DPD activity directly in peripheral mononuclear cells or indirectly through the endogenous dihydrouracil/uracil ratio (UH2/U) in plasma, or using a uracil loading test ([14](#_ENREF_14)). See ([15](#_ENREF_15)) for a review of these methods. The application of a combined genotype/phenotype approach including selected *DPYD* risk variants has been shown to reduce toxicity in a prospective study ([16](#_ENREF_16)). However, such tests are not widely available. Furthermore, the mean and range of the pre-therapeutic endogenous UH2/U ratio varied widely between studies, limiting its practical use, and several studies did not observe a strong correlation between the UH2/U ratio and 5-fluorouracil plasma concentrations ([17](#_ENREF_17)).

# Drugs: Fluoropyrimidines

Background

Fluoropyrimidines such as 5-fluorouracil, capecitabine and tegafur (prodrug administered in combination with uracil; not available in United States) are widely used in the treatment of solid tumors including colorectal and breast cancer, and cancers of the aerodigestive tract. Each year, over 2 million patients are newly diagnosed with tumors that are commonly treated with fluoropyrimidines, mostly in combination with other antineoplastic drugs ([18](#_ENREF_18)). Approximately 10-40% of fluoropyrimidine-treated patients develop severe and sometimes life-threatening toxicity (neutropenia, nausea, vomiting, severe diarrhea, stomatitis, mucositis, hand-foot syndrome) ([6](#_ENREF_6), [10](#_ENREF_10), [19](#_ENREF_19)).

5-fluorouracil has a narrow therapeutic window resulting in a small difference between minimum efficacious and maximum tolerable dose. Only 1-3% of the administered 5-fluorouracil is metabolized to cytotoxic metabolites with approximately 80% of the administered dose being degraded and the rest excreted in the urine. DPD is the first and rate-limiting step in the catabolic pathway converting 5-fluorouracil to dihydrofluorouracil (DHFU)(for further details see 5-fluorouracil pathway at <http://www.pharmgkb.org/pathway/PA150653776>). DPD levels show high inter- and intra-individual variation, which influences 5-fluorouracil exposure ([20](#_ENREF_20)). Reduced activity of DPD results in reduced clearance and increased half-life of 5-fluorouracil, and can cause profound dose-related toxicities ([21](#_ENREF_21), [22](#_ENREF_22)). Capecitabine and tegafur are prodrugs of 5-fluorouracil, being converted to 5-fluorouracil and also metabolized by DPD. Therefore, toxic effects are similar in patients with decreased/no function *DPYD* variants ([8](#_ENREF_8), [23](#_ENREF_23)).

Linking genetic variability to variability in drug-related phenotypes

There is substantial evidence linking *DPYD* genotype with variability in DPD enzyme activity, 5-fluorouracil clearance and 5-fluorouracil toxicity (summarized in **Supplementary Table S1**), which provide the basis for the dosing recommendations (**Table 2**).

In a meta-analysis combining data from eight cohort studies (n=7365 patients), the association of four *DPYD* variants with severe fluoropyrimidine-related toxicity was demonstrated ([19](#_ENREF_19)): c.1905+1G>A (\*2A), c.2846A>T, c.1679T>G (\*13), and c.1129–5923C>G (HapB3) with relative risks for toxicity of 2.9 (95%CI: 1.8-4.6), 3.0 (2.2-4.1), 4.4 (2.1-9.3), and 1.6 (1.3-2.0), respectively. For all of these variants, an impact on DPD activity (assessed in PBMCs or using the UH2/U ratio) has been shown (([5](#_ENREF_5)); **Supplementary Table S1**). The strongest impact on DPD activity was observed for c.1905+1G>A and c.1679T>G with a 50% and 68% reduction in heterozygous carriers, respectively ([5](#_ENREF_5)). A moderate reduction in DPD activity was observed in heterozygous carriers of c.2846A>T and c.1129–5923C>G (30% and 35% reduced activity, respectively) ([5](#_ENREF_5)). Two homozygous carriers of c.1129–5923C>G had 41% and 55% DPD activity compared to controls, consistent with a partial DPD deficiency ([24](#_ENREF_24)). Homozygous expression *in vitro* resulted in dramatically reduced DPD activity (<25% of wildtype activity) for c.1905+1G>A and c.1679T>G, and in reduced DPD activity (39-59% of wildtype activity) for c.2846A>T ([25](#_ENREF_25), [26](#_ENREF_26)). In heterozygous carriers of c.1905+1G>A, c.2846A>T, and c.1679T>G, 5-fluorouracil clearance was reduced by 40-80% compared to non-carriers ([22](#_ENREF_22), [27](#_ENREF_27)). For heterozygous carriers of c.557A>G (p.Y186C), commonly observed in individuals of African ancestry, a 46% reduction in PBMC DPD activity compared to non-carriers was observed ([28](#_ENREF_28)).

Prescribing Recommendations

**Table 2** summarizes the genetics-based dosing recommendations for fluoropyrimidines using the calculated *DPYD* activity score (*DPYD*-AS). The strength of the prescribing recommendations is based on the known impact of some variants (c.1905+1G>A, c.1679T>G, c.2846A>T, c.1129–5923C>G) on DPD activity, the demonstrated relationship between DPD activity and 5-fluorouracil clearance, and between 5-fluorouracil exposure and its toxic effects. Patients who are heterozygous for *DPYD* decreased/no functionvariants demonstrate partial DPD deficiency and should receive reduced starting doses. Prospective genotyping of c.1905+1G>A followed by a 50% dose reduction in heterozygous carriers resulted in a rate of severe toxicity comparable to non-carriers ([29](#_ENREF_29)). This study thus demonstrated that *DPYD* genetic testing can reduce the occurrence of severe fluoropyrimidine-related toxicity, and that a dose reduction of 50% is suitable for heterozygous carriers of no function variants (*DPYD*-AS: 1). For decreased function variants, evidence is limited regarding the optimal degree of dose reduction. For c.2846A>T, a small retrospective study observed that the average capecitabine dose in heterozygous carriers was reduced by 25% compared to non-carriers ([23](#_ENREF_23)). In a small prospective study, five patients carrying c.1236G>A (proxy for c.1129–5923C>G) were safely treated with a 25% reduced capecitabine starting dose ([30](#_ENREF_30)). This suggests that heterozygous carriers of decreased function variants (*DPYD*-AS: 1.5) may tolerate higher doses compared to carriers of no function variants (*DPYD*-AS: 1). In patients with *DPYD*-AS of 1.5, the individual circumstances of a given patient should therefore be considered to determine if a more cautious approach (50% starting dose followed by dose titration), or an approach maximizing potential effectiveness with a potentially higher toxicity risk (25% dose reduction) is preferable. Of note, both studies indicating the suitability of a 25% dose reduction in decreased function variant carriers included only patients receiving capecitabine and no data are currently available for infusional 5-fluorouracil.

Given that some patients carrying decreased or no function variants tolerate normal doses of 5-fluorouracil, to maintain effectiveness, doses should be increased in subsequent cycles in patients experiencing no or clinically tolerable toxicity in the first two chemotherapy cycles or with subtherapeutic plasma concentrations. Similarly, doses should be decreased in patients who do not tolerate the starting dose.

In *DPYD* poor metabolizers (*DPYD*-AS: 0.5 or 0), it is strongly recommended to avoid use of 5-fluorouracil containing regimens. However, if no fluoropyrimidine-free regimens are considered a suitable therapeutic option, 5-fluorouracil administration at a strongly reduced dose combined with early therapeutic drug monitoring may be considered for patients with *DPYD*-AS of 0.5. It should be noted, however, that no reports of the successful administration of low dose 5-fluorouracil in *DPYD* poor metabolizers are available to date. Assuming additive effects of decreased and no function alleles (*DPYD*-AS: 0.5), it is estimated that a dose reduction of at least 75% would be required (i.e. starting dose <25% of normal dose). Furthermore, in such cases, a phenotyping test (see Gene: *DPYD* – Other considerations) is advisable to estimate DPD activity and a starting dose.

The U.S. Food and Drug Administration (FDA) and the Health Candida Sante Canada (HCSC) have added statements to the drug labels for 5-fluorouracil and capecitabine that warn against use in patients with DPD deficiency and prescribing recommendations for 5-fluorouracil, capecitabine, and tegafur are also available from the Dutch Pharmacogenetics Working Group ([31](#_ENREF_31)).

Pediatrics. At the time of this writing, data on the possible role of *DPYD* genetic variation in 5-fluorouracil toxicity in pediatric patient populations is extremely scarce; however, there is no evidence to suggest that 5-fluorouracil pharmacokinetics differ from adult patients ([32](#_ENREF_32)), and thus no evidence that *DPYD* variants would affect 5-fluorouracil metabolism differently in children.

Recommendations for Incidental Findings

Symptoms of DPD deficiency generally present in childhood and in the majority of patients within the first year of life. Currently, a correlation between symptom severity and DPD function and/or genetics has not been established. However, early phenotypic (*e.g*., urine screening of uracil and its degradation products) and/or genetic testing (pre- or postnatal) of offspring of *DPYD* no function variant carriers could aid in early diagnosis ([13](#_ENREF_13)) to avoid a lengthy diagnostic odyssey.

Other considerations

Recently, a common polymorphism (rs895819A>G) in the *DPYD*-regulatory microRNA miR-27a was associated with lower DPD activity ([33](#_ENREF_33)) and with fluoropyrimidine-related toxicity in patients carrying decreased function *DPYD* variants ([34](#_ENREF_34), [35](#_ENREF_35)). This suggests that this *MIR27A* variant may allow further stratification of *DPYD* risk variant carriers. However, pharmacokinetic studies combining *DPYD* and *MIR27A* genotype are needed before dosing recommendations that incorporate *MIR27A* genotype can be made.

Other genetic variation and patient characteristics such as sex and age have also been associated with 5-fluorouracil toxicity, however, the clinical utility of these associations are not fully understood (see Supplement for more information). Disease and treatment regimens may influence the overall risk of toxicity, and thus also the absolute risk of toxicity in carriers of *DPYD* decreased/no function variants. However, the association of *DPYD* variants with 5-fluorouracil related toxicity has been found to be fairly consistent across treatment regimens ([8](#_ENREF_8), [19](#_ENREF_19)).

Pharmacokinetically guided dosing of 5-fluorouracil has been shown to result in an increase in the proportion of patients with 5-fluorouracil exposure (AUC) within the targeted therapeutic range and a reduced number of 5-fluorouracil related adverse effects ([36-38](#_ENREF_36)). In particular, to avoid underdosing of patients with genotype-based dose reductions who tolerate higher 5-fluorouracil doses, follow-up therapeutic drug monitoring is recommended.

Implementation of this guideline*.*The guideline supplement contains resources that can be used within electronic health records (EHRs) to assist clinicians in applying genetic information to patient care for the purpose of drug therapy optimization (see *Resources to incorporate pharmacogenetics into an electronic health record with clinical decision support* sections of supplement).

# Potential Benefits and Risks for the Patient

The benefit of *DPYD* genotyping has been demonstrated in a prospective study, which showed a reduced occurrence of severe 5-fluorouracil related toxicity and no toxicity-related deaths in carriers of c.1905+1G>A after genotype-guided dose reduction ([29](#_ENREF_29)). Conversely, not all carriers of *DPYD* decreased/no function variants develop severe toxicity at standard doses ([19](#_ENREF_19), [27](#_ENREF_27)). As a consequence, some carriers of such variants may not receive the full benefit of fluoropyrimidine therapy with the recommended dose reductions. To maintain efficacy, it is important to increase the dose in patients experiencing no or clinically tolerable toxicity or with subtherapeutic 5-fluorouracil plasma concentrations. Patients who proceed with 5-fluorouracil therapy may still experience acceptable lower grade toxicity that may even be necessary in order to achieve efficacy. A possible risk is the misreporting or misinterpretation of genetic test results.

# Caveats: Appropriate Use and/or Potential Misuse of Genetic Tests

The presence of decreased or no function variants does not always result in toxicity. Overall, approximately 50% of decreased function *DPYD* variant carriers develop severe 5-fluorouracil-related toxicity with lower doses ([19](#_ENREF_19), [27](#_ENREF_27), [39](#_ENREF_39)), with estimates varying depending on the overall frequency of toxicity for a given treatment regimen and the number of treatment cycles evaluated ([6](#_ENREF_6), [10](#_ENREF_10), [27](#_ENREF_27), [39](#_ENREF_39), [40](#_ENREF_40)). At the same time, patients without a *DPYD* decreased/no function variant may still experience severe toxicity due to other genetic, environmental or other factors.

The sensitivity of *DPYD* genetic testing depends on the number of variants investigated. By combining the *DPYD* variants c.1905+1G>A, c.2846A>T, c.1679T>G, c.1129–5923C>G, 20-30% of early-onset 5-fluorouracil toxicities can be explained ([6](#_ENREF_6)). However, a test that includes only a subset of those *DPYD* variants (e.g. only c.1905+1G>A) has a reduced sensitivity. Finally, given the existence of many additional rare deleterious *DPYD* variants, a genetic test investigating only selected decreased/no function variants does not fully rule out DPD defects.

# Disclaimer

Clinical Pharmacogenetics Implementation Consortium (CPIC) guidelines reflect expert consensus based on clinical evidence and peer-reviewed literature available at the time they are written and are intended only to assist clinicians in decision making and to identify questions for further research. New evidence may have emerged since the time a guideline was submitted for publication. Guidelines are limited in scope and are not applicable to interventions or diseases that are not specifically identified. Guidelines do not account for individual variations among patients, and cannot be considered inclusive of all proper methods of care or exclusive of other treatments. It remains the responsibility of the health-care provider to determine the best course of treatment for a patient. Adherence to any guideline is voluntary, with the ultimate determination regarding its application to be made solely by the clinician and the patient. CPIC assumes no responsibility for any injury or damage to persons or property arising out of or related to any use of CPIC’s guidelines, or for any errors or omissions.

# Acknowledgements

We acknowledge the critical input of members of CPIC of the Pharmacogenomics Research Network (PGRN) particularly Dr. Mary V. Relling (St Jude Children’s Research Hospital) funded by the National Institutes of Health (NIH). This work is funded by NIH grants R24 GM61374, U01 GM092666, and U01 HL0105918. This work is also supported by the Robert Bosch Foundation, Stuttgart, Germany.

# Conflicts of Interest

The authors declare no conflicts of interest.

# Table 1. Assignment of likely DPD phenotypes based on genotypes.

|  |  |  |  |
| --- | --- | --- | --- |
| **Likely Phenotype** | **Activity Scorea** | **Genotypesb** | **Examples of genotypes**c |
| *DPYD* Normal Metabolizer | 2 | An individual carrying two normal function alleles | c.[=];[=], c.[85T>C];[=], c.[1627A>G];[=]  |
| *DPYD* Intermediate Metabolizer | 1 or 1.5 | An individual carrying one normal function allele plus one no function allele or one decreased function allele, or an individual carrying two decreased function alleles.  | c.[1905+1G>A];[=], c.[1679T>G];[=], c.[2846A>T];[=]; c.[1129–5923C>G];[=]d; c.[1129–5923C>G];[1129–5923C>G]d |
| *DPYD* Poor Metabolizer | 0 or 0.5 | An individual carrying two no function alleles or an individual carrying one no function plus one decreased function | c.[1905+1G>A];[1905+1G>A], c.[1679T>G];[1679T>G], c.[1905+1G>A];[2846A>T]  |

aCalculated as the sum of the two lowest individual variant activity scores. See text for further information.

bAllele definitions, assignment of allele function and references can be found on PharmGKB (*DPYD* Allele Functionality Table)

c HGVS nomenclature using the reference sequence NM\_000110.3

dLikely HapB3 causal variant. See *DPYD* Allele Functionality Table for other HapB3 proxy SNPs.

# Table 2. Recommended Dosing of fluoropyrimidinesa by DPD phenotype.

|  |  |  |  |
| --- | --- | --- | --- |
| **Phenotype**  | **Implications for phenotypic measures** | **Dosing recommendations** | **Classification of recommendationsb** |
| *DPYD* Normal Metabolizer | Normal DPD activity and “normal” risk for fluoropyrimidine toxicity | Use label-recommended dosage and administration. | Moderate  |
| *DPYD* Intermediate Metabolizer  | Decreased DPD activity (leukocyte DPD activity at 30% to 70% that of the normal population) and increased risk for severe or even fatal drug toxicity when treated with fluoropyrimidine drugs | Reduce starting dose based on activity score followed by titration of dose based on toxicityc or therapeutic drug monitoring (if available). Activity score 1: Reduced dose by 50%Activity score 1.5: Reduced dose by 25% to 50% | Activity score 1: StrongActivity score 1.5: Moderate |
| *DPYD* Poor Metabolizer  | Complete DPD deficiency and increased risk for severe or even fatal drug toxicity when treated with fluoropyrimidine drugs. | Activity score 0.5: Avoid use of 5-fluorouracil or 5-fluorouracil prodrug-based regimens In the event, based on clinical advice, alternative agents are not considered a suitable therapeutic option, 5-fluorouracil should be administered at a strongly reduced dosed with early therapeutic drug monitoring.e Activity score 0: Avoid use of 5-fluorouracil or 5-fluorouracil prodrug-based regimens.  | Strong |

a5-fluorouracil, capecitabine, and tegafur

bRating scheme described in Supplement.

cIncrease the dose in patients experiencing no or clinically tolerable toxicity in the first two cycles to maintain efficacy; decrease the dose in patients who do not tolerate the starting dose to minimize toxicities.

 dIf available, a phenotyping test (see main text for further details) should be considered to estimate the starting dose. In absence of phenotyping data, a dose of <25% of the normal starting dose is estimated assuming additive effects of alleles on 5-FU clearance.

eTherapeutic drug monitoring should be done at the earliest time point possible (e.g., minimum time point in steady state) in order to immediately discontinue the infusion if the drug level is too high.

**References**

(1) Diasio, R.B. & Harris, B.E. Clinical pharmacology of 5-fluorouracil. *Clin Pharmacokinet* **16**, 215-37 (1989).

(2) Relling, M.V. & Klein, T.E. CPIC: Clinical Pharmacogenetics Implementation Consortium of the Pharmacogenomics Research Network. *Clinical pharmacology and therapeutics* **89**, 464-7 (2011).

(3) Wei, X. *et al.* Characterization of the human dihydropyrimidine dehydrogenase gene. *Genomics* **51**, 391-400 (1998).

(4) Van Kuilenburg, A.B. *et al.* Heterozygosity for a point mutation in an invariant splice donor site of dihydropyrimidine dehydrogenase and severe 5-fluorouracil related toxicity. *Eur J Cancer* **33**, 2258-64 (1997).

(5) Nie, Q. *et al.* Quantitative contribution of rs75017182 to dihydropyrimidine dehydrogenase mRNA splicing and enzyme activity. *Clinical pharmacology and therapeutics*, (2017).

(6) Froehlich, T.K., Amstutz, U., Aebi, S., Joerger, M. & Largiader, C.R. Clinical importance of risk variants in the dihydropyrimidine dehydrogenase gene for the prediction of early-onset fluoropyrimidine toxicity. *Int J Cancer* **136**, 730-9 (2015).

(7) van Kuilenburg, A.B. *et al.* Novel disease-causing mutations in the dihydropyrimidine dehydrogenase gene interpreted by analysis of the three-dimensional protein structure. *Biochem J* **364**, 157-63 (2002).

(8) Rosmarin, D. *et al.* Genetic markers of toxicity from capecitabine and other fluorouracil-based regimens: investigation in the QUASAR2 study, systematic review, and meta-analysis. *J Clin Oncol* **32**, 1031-9 (2014).

(9) Boige, V. *et al.* DPYD Genotyping to Predict Adverse Events Following Treatment With Flourouracil-Based Adjuvant Chemotherapy in Patients With Stage III Colon Cancer: A Secondary Analysis of the PETACC-8 Randomized Clinical Trial. *JAMA Oncol*, (2016).

(10) Lee, A.M. *et al.* DPYD variants as predictors of 5-fluorouracil toxicity in adjuvant colon cancer treatment (NCCTG N0147). *J Natl Cancer Inst* **106**, (2014).

(11) Henricks, L.M. *et al.* Translating DPYD genotype into DPD phenotype: using the DPYD gene activity score. *Pharmacogenomics* **16**, 1277-86 (2015).

(12) van Gennip, A.H., Abeling, N.G., Vreken, P. & van Kuilenburg, A.B. Inborn errors of pyrimidine degradation: clinical, biochemical and molecular aspects. *J Inherit Metab Dis* **20**, 203-13 (1997).

(13) Jurecka, A. Inborn errors of purine and pyrimidine metabolism. *J Inherit Metab Dis* **32**, 247-63 (2009).

(14) van Staveren, M.C. *et al.* Evaluation of an oral uracil loading test to identify DPD-deficient patients using a limited sampling strategy. *Br J Clin Pharmacol* **81**, 553-61 (2016).

(15) Meulendijks, D., Cats, A., Beijnen, J.H. & Schellens, J.H. Improving safety of fluoropyrimidine chemotherapy by individualizing treatment based on dihydropyrimidine dehydrogenase activity - Ready for clinical practice? *Cancer Treat Rev* **50**, 23-34 (2016).

(16) Boisdron-Celle, M. *et al.* Prevention of 5-fluorouracil-induced early severe toxicity by pre-therapeutic dihydropyrimidine dehydrogenase deficiency screening: Assessment of a multiparametric approach. *Semin Oncol* **44**, 13-23 (2017).

(17) Sistonen, J. *et al.* Predicting 5-fluorouracil toxicity: DPD genotype and 5,6-dihydrouracil:uracil ratio. *Pharmacogenomics* **15**, 1653-66 (2014).

(18) Torre, L.A., Bray, F., Siegel, R.L., Ferlay, J., Lortet-Tieulent, J. & Jemal, A. Global cancer statistics, 2012. *CA Cancer J Clin* **65**, 87-108 (2015).

(19) Meulendijks, D. *et al.* Clinical relevance of DPYD variants c.1679T>G, c.1236G>A/HapB3, and c.1601G>A as predictors of severe fluoropyrimidine-associated toxicity: a systematic review and meta-analysis of individual patient data. *Lancet Oncol* **16**, 1639-50 (2015).

(20) Fleming, R.A. *et al.* Correlation between dihydropyrimidine dehydrogenase activity in peripheral mononuclear cells and systemic clearance of fluorouracil in cancer patients. *Cancer Res* **52**, 2899-902 (1992).

(21) Diasio, R.B., Beavers, T.L. & Carpenter, J.T. Familial deficiency of dihydropyrimidine dehydrogenase. Biochemical basis for familial pyrimidinemia and severe 5-fluorouracil-induced toxicity. *The Journal of clinical investigation* **81**, 47-51 (1988).

(22) Boisdron-Celle, M. *et al.* 5-Fluorouracil-related severe toxicity: a comparison of different methods for the pretherapeutic detection of dihydropyrimidine dehydrogenase deficiency. *Cancer letters* **249**, 271-82 (2007).

(23) Deenen, M.J. *et al.* Relationship between single nucleotide polymorphisms and haplotypes in DPYD and toxicity and efficacy of capecitabine in advanced colorectal cancer. *Clinical cancer research : an official journal of the American Association for Cancer Research* **17**, 3455-68 (2011).

(24) Meulendijks, D. *et al.* Patients homozygous for DPYD c.1129-5923C>G/haplotype B3 have partial DPD deficiency and require a dose reduction when treated with fluoropyrimidines. *Cancer chemotherapy and pharmacology* **78**, 875-80 (2016).

(25) Offer, S.M., Wegner, N.J., Fossum, C., Wang, K. & Diasio, R.B. Phenotypic Profiling of DPYD Variations Relevant to 5-Fluorouracil Sensitivity Using Real-time Cellular Analysis and In Vitro Measurement of Enzyme Activity. *Cancer Res* **73**, 1958-68 (2013).

(26) Offer, S.M., Fossum, C.C., Wegner, N.J., Stuflesser, A.J., Butterfield, G.L. & Diasio, R.B. Comparative functional analysis of DPYD variants of potential clinical relevance to dihydropyrimidine dehydrogenase activity. *Cancer Res* **74**, 2545-54 (2014).

(27) Morel, A. *et al.* Clinical relevance of different dihydropyrimidine dehydrogenase gene single nucleotide polymorphisms on 5-fluorouracil tolerance. *Molecular cancer therapeutics* **5**, 2895-904 (2006).

(28) Offer, S.M., Lee, A.M., Mattison, L.K., Fossum, C., Wegner, N.J. & Diasio, R.B. A DPYD Variant (Y186C) in Individuals of African Ancestry Is Associated With Reduced DPD Enzyme Activity. *Clinical pharmacology and therapeutics* **94**, 158-66 (2013).

(29) Deenen, M.J. *et al.* Upfront Genotyping of DPYD\*2A to Individualize Fluoropyrimidine Therapy: A Safety and Cost Analysis. *J Clin Oncol* **34**, 227-34 (2016).

(30) Lunenburg, C.A., van Staveren, M.C., Gelderblom, H., Guchelaar, H.J. & Swen, J.J. Evaluation of clinical implementation of prospective DPYD genotyping in 5-fluorouracil- or capecitabine-treated patients. *Pharmacogenomics* **17**, 721-9 (2016).

(31) Swen, J.J. *et al.* Pharmacogenetics: from bench to byte--an update of guidelines. *Clinical pharmacology and therapeutics* **89**, 662-73 (2011).

(32) Wright, K.D. *et al.* Phase I study of 5-fluorouracil in children and young adults with recurrent ependymoma. *Neuro Oncol* **17**, 1620-7 (2015).

(33) Offer, S.M., Butterfield, G.L., Jerde, C.R., Fossum, C.C., Wegner, N.J. & Diasio, R.B. microRNAs miR-27a and miR-27b directly regulate liver dihydropyrimidine dehydrogenase expression through two conserved binding sites. *Molecular cancer therapeutics* **13**, 742-51 (2014).

(34) Amstutz, U., Offer, S.M., Sistonen, J., Joerger, M., Diasio, R.B. & Largiader, C.R. Polymorphisms in MIR27A Associated with Early-Onset Toxicity in Fluoropyrimidine-Based Chemotherapy. *Clinical cancer research : an official journal of the American Association for Cancer Research* **21**, 2038-44 (2015).

(35) Meulendijks, D. *et al.* Rs895819 in MIR27A improves the predictive value of DPYD variants to identify patients at risk of severe fluoropyrimidine-associated toxicity. *Int J Cancer* **138**, 2752-61 (2016).

(36) Gamelin, E. *et al.* Individual fluorouracil dose adjustment based on pharmacokinetic follow-up compared with conventional dosage: results of a multicenter randomized trial of patients with metastatic colorectal cancer. *J Clin Oncol* **26**, 2099-105 (2008).

(37) Fang, L. *et al.* Pharmacokinetically guided algorithm of 5-fluorouracil dosing, a reliable strategy of precision chemotherapy for solid tumors: a meta-analysis. *Sci Rep* **6**, 25913 (2016).

(38) Patel, J.N. *et al.* A community-based multicenter trial of pharmacokinetically guided 5-fluorouracil dosing for personalized colorectal cancer therapy. *Oncologist* **19**, 959-65 (2014).

(39) Schwab, M. *et al.* Role of genetic and nongenetic factors for fluorouracil treatment-related severe toxicity: a prospective clinical trial by the German 5-FU Toxicity Study Group. *J Clin Oncol* **26**, 2131-8 (2008).

(40) Amstutz, U., Farese, S., Aebi, S. & Largiader, C.R. Dihydropyrimidine dehydrogenase gene variation and severe 5-fluorouracil toxicity: a haplotype assessment. *Pharmacogenomics* **10**, 931-44 (2009).